



[Andy Stockett](#)



Andy has a background that combines Capital Markets, Corporate Finance and CFO Operating experience.

For nine years he was a Senior Vice President and Department Head at Raymond James Financial (NYSE: RJF). He reported to the Chairman. Andy was also one of six members of the firm's Capital Markets Commitment Committee. He served as a Syndicate Manager and was responsible for the pricing, distribution and aftermarket trading of IPO's, follow-on financings and debt offerings. He cultivated and managed relationships with major Wall Street investment banks and regional firms. During that time, he served a term as Chairman of the Southern States Securities Industry Association and sat on SIA committees relating to Governmental Affairs and Syndicate Affairs. He also was a member of the Investment Association of New York.

As a partner in a Financial Advisory firm, Andy structured and funded direct investments in several private companies and acted as a consultant in helping a company complete an IPO.

His operating experience comes from joining Big River Grille and Brewing Works, a \$7 million revenue company, as CFO and growing it to over \$90 million in sales. During this time he completed a \$50 million acquisition of Gordon Biersch Brewery Restaurants, a stock buy-back, sale/leaseback transactions, and a \$60 million recapitalization. These transactions involved

negotiating with Private Equity Groups, Mezzanine Lenders, Senior Lenders and existing shareholders. His operating responsibilities included implementing and managing the company functions relating to accounting, finance, human resources, information technology, risk management and purchasing.

He has a B. A. degree in Economics from Vanderbilt University and an MBA from the University of Chicago Graduate School of Business. In addition to the Series 7 and Series 63 licenses, he is a Series 24 Registered Securities Principal.

Andy also serves on the Board of the Chattanooga Metropolitan YMCA; is past President of the Board of The Chattanooga Nature Center; is a past member of the Baylor School Alumni Board; and is a former board member of the Chattanooga Ronald MacDonald House, where he chaired the annual "Share A Night" fundraiser. And Andy is an avid outdoorsman. Duck hunting anyone?